

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

Reporting Country **Czech Republic**
Submission Date **06 March 2015**

Reporting Institution **CEB**
Version number **2**

I GENERAL PRINCIPLES

Objectives

- Please describe the policies and procedures that you have established to support the objectives of the Recommendation. Please include details about your organisational structure, the operational process and supporting tools.

All CEB's environmental and social procedures are in compliance with effective international rules in the area of environmental protection and OECD Common Approaches ("CA"), including the revised Recommendation of the OECD Council, which have been implemented by the internal CEB's Directive N. 70. All applications for a transaction not insured by EGAP are screened by the respective Credit Managers. For the applications for a transaction to be insured by EGAP, the respective Credit Managers check the outcome of EGAP's environmental and social due diligence.

- Please provide a link to the environmental and social due diligence page of your institution's website.

<http://www.ceb.cz/en/about-us/legislation/assessment-of-the-impact-of-exports-on-the-environ/>

II SCREENING

Exemptions

- Are all applications (apart from those related to military equipment and agricultural commodities) screened? **No**

If no, please provide details of any exemptions from screening, including:

(a) value of any threshold used: **0.00** (b) currency:

(c) details of any products exempt from screening:

(d) details of any other exemptions from screening:

For the applications for a transaction to be insured by EGAP, the environmental and social due diligence is carried out by EGAP, and its outcome is checked by the respective CEB's Credit Manager.

Information requirements

- What information is required for the screening process?

Application form ☒ Separate environmental/social questionnaire ☒
Sector / issue-specific questionnaires (please specify) ☐ Other (please specify) ☐

The applicant must submit a completed E&S questionnaire as an attachment to his/her application.

Responsibility for screening

- Who is responsible for screening applications?

Underwriter ☒ Practitioner ☐
ECA Consultant ☒ Other (please specify) ☐

Screening policies

- Do you have policies and procedures in place to identify exports of capital goods and services destined to:

(a) Projects or to existing operations as defined in the Recommendation? **Yes**

If yes, please provide details:

CEB's policy follows OECD CA through its internal Directive N. 70.

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(b) Identified locations that are in or near sensitive areas?

Yes

If yes, please provide details:

CEB's policy follows OECD CA through its internal Directive N. 70.

Classification system

7. Do you classify applications described in paragraph 8 of the Recommendation?

Yes

If yes, how do you classify such applications?

Using "A, B, C" Categories

8. Do you classify applications described in paragraph 9 of the Recommendation?

Yes

If yes, how do you classify such applications?

Using "A, B, C" Categories

III CLASSIFICATION

9. Do you have policies and procedures in place to identify the potential positive and negative environmental and social impacts relating to the applications to be classified?

Yes

If yes, please provide details, including any specific tools employed:

CEB's policy follows OECD CA through its internal Directive N. 70.

Responsibility for classification

10. Who is responsible for the classification of applications?

Underwriter

☒

Practitioner

☐

ECA Consultant

☒

Other (please specify)

☐

IV ENVIRONMENTAL AND SOCIAL REVIEW

Scope and criteria

11. (a) Do you have policies and procedures in place for reviewing projects when supporting exports forming only a minor part of a project [*i.e.* co-insuring / financing with another Export Credit Agency (ECA), Multilateral Financial Institution (MFI) or development agency] or in re-insurance situations?

Yes

If yes, please provide details, for example:

May take account of review carried out by other ECAs, MFIs or Development Agency

(b) Any other comments:

12. Do you have policies and procedures in place for assessing, where appropriate, the potential environmental and/or social impacts of any associated facilities?

Yes

If yes, please provide details:

Associated facilities are being reviewed by the same mechanism as individual transaction according to CEB's internal Directive N. 70.

13. Do you have policies and procedures in place for considering, where appropriate, any statements or reports from your National Contact Point (NCP)?

No

If yes, please provide details:

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

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Category A projects

14. Under paragraph 17 of the Recommendation, Members should require an Environmental and Social Impact Assessment (ESIA) to be undertaken for Category A projects. Are there any circumstances in which you might accept to review a Category A project for which an ESIA has not been undertaken or for which either an ESIA report is not available for review or does not adequately address all the issues set out in Annex II of the Recommendation?

No

If yes, please provide details, including the type of information that you would require in the absence of a (complete) ESIA:

Category B projects

15. The scope of a review for Category B projects may vary from project to project. Please provide details of your general approach to reviewing Category B projects, including the type of information required under your policies and procedures.

An E&S assessment produced in line with the respective international rules is required.

Responsibility for review

16. Who is responsible for undertaking the environmental and social review?

Underwriter	<input type="checkbox"/>	Practitioner	<input type="checkbox"/>
ECA Consultant	<input checked="" type="checkbox"/>	Other (please specify)	<input type="checkbox"/>

Standards for benchmarking projects

17. How do you seek assurance that a project is compliant with host country standards?

As part of the E&S review, its author requires information on applicable national legislation and permits.

18. Paragraphs 20-21 of the Recommendation set out the general circumstances in which various international standards should be used for the purposes of evaluating the potential environmental and social impacts of projects. Please provide details of when, in practice, you would use the following international standards:

(a) World Bank Safeguard Policies.

All projects

(b) International Finance Corporation (IFC) Performance Standards.

All projects

(c) Multilateral Financial Institution (MFI) standards.

Where such institutions are supporting a project

(d) Any other comments:

19. Do you have policies and procedures in place for dealing with cases where projects do not meet the international standards or guidelines against which they have been benchmarked?

No

If yes, please provide details:

Site visits

20. Please specify the circumstances in which you might carry out a site visit as part of the review process.

(a) All projects	<input type="checkbox"/>	(f) Project involves particularly complex/unusual potential impacts	<input type="checkbox"/>
(b) Category A projects	<input type="checkbox"/>	(g) Project located in or near sensitive area	<input type="checkbox"/>

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

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Version number **2**

- | | | | |
|--|--------------------------|---|--------------------------|
| (c) Category A project finance transactions only | <input type="checkbox"/> | (h) Project generating significant stakeholder interest | <input type="checkbox"/> |
| (d) Category B projects | <input type="checkbox"/> | (i) To verify project impact information provided | <input type="checkbox"/> |
| (e) Project finance transactions | <input type="checkbox"/> | (j) Other (please specify) | <input type="checkbox"/> |

CEB does not carry out site visits, these fall into the external experts' competence.

V EVALUATION, DECISION AND MONITORING

Providing official support

21. Who is responsible for deciding whether to decline or provide official support and, in the event that support is to be provided, whether this should involve conditions to fulfil?

- | | | | |
|-------------------------|-------------------------------------|------------------------|-------------------------------------|
| Underwriter | <input type="checkbox"/> | Practitioner | <input type="checkbox"/> |
| Senior ECA staff | <input checked="" type="checkbox"/> | ECA committee / board | <input checked="" type="checkbox"/> |
| Guardian Authority(ies) | <input type="checkbox"/> | Other (please specify) | <input type="checkbox"/> |

22. (a) Under what circumstances would you consider denying support on account of the environmental and social impacts of a project? Please provide details.

In projects, where it may not be possible to fulfil the criteria for approval.

(b) Please provide any examples of experience.

Conditions to official support

23. (a) How are environmental and/or social conditions to be fulfilled prior to, or after, the final commitment for official support incorporated into documentation? Please provide details.

E&S conditions are (together with other conditions to be fulfilled) part of the documentation of the financing agreement.

(b) Please provide examples of any environmental and/or social conditions used.

no experienced so far

Monitoring

24. Do you have policies and procedures in place for monitoring, as appropriate, the implementation of a project to ensure compliance with the conditions of your official support?

Yes

If yes, please provide details:

(a) Types of projects:

- | | | | |
|--|-------------------------------------|--|-------------------------------------|
| (i) All projects | <input type="checkbox"/> | (vi) Project involves particularly complex/unusual potential impacts | <input checked="" type="checkbox"/> |
| (ii) Category A projects | <input checked="" type="checkbox"/> | (vii) Project located in or near sensitive area | <input checked="" type="checkbox"/> |
| (iii) Category A project finance transactions only | <input type="checkbox"/> | (viii) Project likely to generate significant stakeholder interest | <input type="checkbox"/> |
| (iv) Category B projects | <input checked="" type="checkbox"/> | (ix) To verify how impacts are being addressed | <input type="checkbox"/> |
| (v) Project finance transactions | <input type="checkbox"/> | (x) Where support is provided subject to certain conditions | <input type="checkbox"/> |
| (xi) Any other (please specify) | <input type="checkbox"/> | | |

Pursuant to CEB's Directive N.70: in case the ESIA or an E&S review contains specific measures to prevent or compensate negative impacts, CEB always requires periodic monitoring reports, as stipulated in the respective review. For other A and B projects, CEB may require monitoring reports.

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

Reporting Country **Czech Republic**
Submission Date **06 March 2015**

Reporting Institution **CEB**
Version number **2**

(b) Monitoring frequency/period:

(i) during construction: **other (please specify)**

(iii) during operation: **other (please specify)**

(c) Content:

In line with the ESIA/ E&S review.

(d) Any other comments:

In line with the ESIA/ E&S review.

25. Who is responsible for undertaking monitoring of projects, including, if appropriate, making site visits, reviewing monitoring reports, deciding on compliance, etc?

Underwriter ☒ Practitioner ☐

ECA Consultant ☒ Other (please specify): ☐

Non-compliance measures

26. (a) What actions are available to you in cases where monitoring reveals that conditions are not being complied with?

CEB has the right to suspend the financing.

(b) Please provide any examples of experience.

Disclosure of monitoring reports

27. (a) In what circumstances do you encourage project sponsors to make *ex post* monitoring reports and related information publicly available?

- | | | | |
|--|-------------------------------------|--|--------------------------|
| (i) All projects | <input checked="" type="checkbox"/> | (vi) Project involves particularly complex/unusual potential impacts | <input type="checkbox"/> |
| (ii) Category A projects | <input type="checkbox"/> | (vii) Project located in or near sensitive area | <input type="checkbox"/> |
| (iii) Category A project finance transactions only | <input type="checkbox"/> | (viii) Project likely to generate significant stakeholder interest | <input type="checkbox"/> |
| (iv) Category B projects | <input type="checkbox"/> | (ix) Where project not in compliance with support | <input type="checkbox"/> |
| (v) Project finance transactions | <input type="checkbox"/> | (x) None | <input type="checkbox"/> |
| (xi) Any other (please specify) | <input type="checkbox"/> | | |

(b) In what circumstances, if any, does your ECA require project sponsors to make such information publicly available or itself seek to make such information publicly available?

- | | | | |
|--|-------------------------------------|--|--------------------------|
| (i) All projects | <input type="checkbox"/> | (vi) Project involves particularly complex/unusual potential impacts | <input type="checkbox"/> |
| (ii) Category A projects | <input checked="" type="checkbox"/> | (vii) Project located in or near sensitive area | <input type="checkbox"/> |
| (iii) Category A project finance transactions only | <input type="checkbox"/> | (viii) Project likely to generate significant stakeholder interest | <input type="checkbox"/> |
| (iv) Category B projects | <input type="checkbox"/> | (ix) Where project not in compliance with support | <input type="checkbox"/> |
| (v) Project finance transactions | <input type="checkbox"/> | (x) None | <input type="checkbox"/> |
| (xi) Any other (please specify) | <input type="checkbox"/> | | |

Under exceptional and duly motivated circumstances this obligation may be relieved.

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

Reporting Country **Czech Republic**
Submission Date **06 March 2015**

Reporting Institution **CEB**
Version number **2**

VI EXCHANGE AND DISCLOSURE OF INFORMATION

Environmental and social procedural guidance

28. Have you published national ECA environmental and other related policy statements or principles and procedural guidance? **Yes**

Exchanging information

29. Do you have policies and procedures in place for exchanging information with other ECAs and MFIs? **No**
If yes, please provide details:

(a) co-insuring/co-financing situations:

Ad hoc informal exchanges (email, telephone, etc.) <input type="checkbox"/>	Ad hoc bilateral / multilateral meetings <input type="checkbox"/>
Practitioners' events <input type="checkbox"/>	Other (please specify) <input type="checkbox"/>
<div style="border: 1px solid black; height: 15px; width: 100%;"></div>	

(b) competitive situations:

Ad hoc informal exchanges (email, telephone, etc.) <input checked="" type="checkbox"/>	Ad hoc bilateral / multilateral meetings <input type="checkbox"/>
Practitioners' events <input type="checkbox"/>	Other (please specify) <input type="checkbox"/>
<div style="border: 1px solid black; height: 15px; width: 100%;"></div>	

Ex ante disclosure of project information

30. Please provide details of your policies and procedures for disclosing publicly information on Category A projects before a final commitment to grant official support, including:

(a) The scope and content of information released:

Project name <input checked="" type="checkbox"/>	Project location <input checked="" type="checkbox"/>
Description of exported goods/services and of the project <input checked="" type="checkbox"/>	Details of where additional information may be obtained <input checked="" type="checkbox"/>
Other (please specify) <input type="checkbox"/>	
<div style="border: 1px solid black; height: 15px; width: 100%;"></div>	

(b) The language of the information released:

ECA language <input checked="" type="checkbox"/>	English <input checked="" type="checkbox"/>
Project language <input type="checkbox"/>	Other (please specify) <input checked="" type="checkbox"/>
<div style="border: 1px solid black; padding: 2px;">or Russian</div>	

(c) Method of disclosure:

ECA website (please provide link below) <input checked="" type="checkbox"/>	Other (please specify) <input checked="" type="checkbox"/>
<div style="border: 1px solid black; padding: 2px;">http://www.ceb.cz/en/about-us/legislation/assessment-of-the-impact-of-exports-on-the-enviro/ and exporters' website</div>	

(d) The minimum number of days the information should be made available prior to commitment: **30** days

(e) Details of any circumstances in which project information relating to Category A projects is not disclosed prior to commitment:

Ex ante disclosure of environmental and social impact information

31. Please provide details of your policies and procedures for requiring that environmental and social impact information on Category A projects be made publicly available before a final commitment to grant official support, including

(a) Scope and content of information that should be released.

ESIA or assessment

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

Reporting Country **Czech Republic**

Submission Date **06 March 2015**

Reporting Institution **CEB**

Version number **2**

(b) Language of the information released:

ECA language ☒ English ☒

Project language ☐ Other (please specify) ☒

or Russian

(c) Method of disclosure:

Disclosure of documents by ECA ☒ Via link on ECA website to additional information ☒

Other (please specify) ☐

(d) Minimum number of days the information should be made available prior to commitment: **30** days

(e) Details of any circumstances in which environmental and social impact information relating to Category A projects is not disclosed prior to commitment.

Ex post disclosure

32. Please provide details of your policies and procedures for making available to the public information on projects classified in Category A and Category B for which you have made a final commitment to provide official support, including:

(a) Scope and content of information released:

(i) Project name ☒ (x) Project location ☒

(ii) Description of exported goods/services and of the project ☒ (xi) Name of exporter ☒

(iii) Name of buyer / project sponsor ☐ (xii) Type of support ☐

(iv) Repayment term ☐ (xiii) Project credit volume ☒

(v) Commitment date ☒ (xiv) Category (A or B) ☒

(vi) Reason for classification ☒ (xv) Standards applied to the project ☐

(vii) Key environmental and social factors / potential impacts ☒ (xvi) Details of any conditions (including monitoring) applied ☐

(viii) On-going monitoring reports ☐ (xvii) GHG emissions of projects ☒

(ix) Details of where additional information may be obtained ☐ (xviii) Other (please specify) ☐

(b) Language of the information released:

ECA language ☒ English ☒

Project language ☐ Other (please specify) ☒

or Russian

(c) Method of disclosure:

ECA website (please provide link below) ☒ Other (please specify) ☐

<http://www.ceb.cz/en/about-us/legislation/assessment-of-the-impact-of-exports-on-the-environ/>

(d) How often *ex post* information on projects classified in Category A and Category B is made publicly available:

annually

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

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Reporting Institution **CEB**
Version number **2**

(e) How long the information remains in the public domain:

other (please specify)

not explicitly set in CEB's Directives

(f) Details of any circumstances in which information on Category A and Category B projects is not disclosed after a final commitment is made:

33. Do you report and/or disclose publicly information on supported projects that do not meet the relevant aspects of the international standards against which they have been benchmarked?

No

If yes, please provide details:

Reported to the Working Group on Export Credits and Credit Guarantees (ECG)

☐

Disclosed publicly on ECA website

☐

Disclosed publicly on Guardian Authority's website

☐

Other (please specify)

☒

CEB hasn't had such projects so far.

VII REPORTING AND MONITORING OF THE RECOMMENDATION

Accountability of your guidelines

34. Do you have appropriate measures and mechanisms in place to ensure compliance with your policies and procedures?

Yes

If yes, please provide details, including (multiple answers may apply):

(i) ECA committee / board approvals

☒

(v) Guardian Authority(ies) approvals

☒

(ii) Internal audits

☒

(vi) Assurance provided by external parties

☐

(iii) Compliance Officer

☒

(vii) Complaint procedures

☐

(iv) Disclosure and reporting practices

☐

(viii) Other (please specify)

☒

Supervising/Guardian Authorities, i.e. Banking Supervisor, National Control Authority

Monitoring and evaluation

35. Do you have any policies and procedures in place for monitoring and evaluating your experience of the Recommendation at a national level?

No

If yes, please provide details:

Sharing information

36. Do you have policies and procedures in place for sharing experiences with other Members?

No

If yes, please provide details:

Ad hoc informal exchanges (email, telephone, etc.)

☐

Ad hoc bilateral / multilateral meetings

☐

Practitioners' events

☐

Other (please specify)

☐

Revisions of due diligence procedures

37. (a) When was the last review or update of your due diligence procedures conducted? (mm/yy)

12-2012

(b) What was the motivation for the last review or update of your due diligence procedures?

Implementation of revised 2012 Common Approaches.

(c) Are any modifications foreseen in the near future?

No

If yes, please provide details:

When ? (mm/yy)

Environmental and Social Survey

REPORTING COUNTRY INFORMATION

ESS-CZE-CEB (06-03-2015) version 2

Reporting Country

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Reporting Institution

CEB

Submission Date

06 March 2015

Version number

2

Resources

38. How many dedicated Practitioners work for your institution?

0

Reporting

39. How frequently do you report *ex post* to the ECG, in accordance with paragraph 41 of the Recommendation, all Category A and Category B projects for which a final commitment has been issued?

other (please specify)

at least annually

40. Do you produce any reports on environmental and social issues in addition to those required by the Recommendation?

No

If yes, please provide details:

Additional measures

41. Have you adopted any additional measures for undertaking due diligence, consistent with the overall objectives of the Recommendation?

No

If yes, please provide details:

Applications not classified

42. Do you have policies and procedures in place for assessing the environmental and social risks associated with existing operations, including reviewing potential impacts and benchmarking against international standards?

No

If yes, please provide details:

43. Do you have policies and procedures in place for addressing environmental and social issues relating to exports of capital goods and/or services that are not destined to identified locations?

No

If yes, please provide details:

Applications not covered by the Recommendation

44. Do you have policies and procedures in place for addressing the environmental and social issues relating to exports of capital goods and/or services and the locations to which these are destined for officially supported export credits not covered by the scope of the Recommendation, *i.e.* with a repayment term of less than two years, for military equipment and agricultural commodities, for any applications exempt from screening as reported under Q3, etc.?

No

If yes, please provide details:

Additional comments

45. Please provide any additional comments.