

# 8<sup>th</sup> ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains

## Agenda

3-5 November 2014  
Kinshasa, Democratic Republic of Congo



Supported the Instrument for Stability of the European Union

 #OECDminerals



## 8<sup>TH</sup> ICGLR-OECD-UN GoE FORUM ON RESPONSIBLE MINERAL SUPPLY CHAINS

3-5 November 2014

**Venue:** Fleuve Congo Kempinski, Kinshasa, DRC: [fleuvecongohotel.net](http://fleuvecongohotel.net).

**Display area:** An area to showcase initiatives, products and relevant reports will be available in the meeting venue. This area is not intended to advance commercial aims of companies. Please note exhibitors will be decided by the OECD Secretariat.

**Chatham House Rule:** The meeting will be held under the Chatham House Rule. "When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), not that of any other participant, may be revealed." This rule also applies to social media – *join the conversation at #OECDminerals*.

### Key themes for the meeting:

- **Review and advance implementation of upstream due diligence in the African Great Lakes Region.** Participants will assess progress and challenges to due diligence in the region including the implementation of the International Conference on the Great Lakes Region (ICGLR) Regional Certification Mechanism (RCM) and other certification processes as well as explore different systems to promote and support due diligence on the ground.
- **Emphasize the human rights elements to due diligence implementation as highlighted in Annex II of the OECD Guidance.** Sessions dedicated to human rights including the role of security forces, the Worst Forms of Child Labour (WFCL) in mining and the prevention of widespread sexual violence will take place on Day 2.
- **Encourage discussions and suggestions on how to formalise artisanal and small-scale mining in the region** and find ways for responsibly-mined artisanal gold and tin, tantalum and tungsten (3T) to access markets and be part of global responsible mineral supply chains.
- **Understand the key elements for creating a responsible investment climate in the Great Lakes Region**, including how broader mineral sector governance reinforces the implementation of the OECD Due Diligence Guidance and the ICGLR RCM



*Supported by the Instrument for Stability of the European Union<sup>1</sup>*

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<sup>1</sup> A new Regulation succeeding the Instrument for Stability entitled "the Instrument contributing to Stability and Peace" (IcSP) was adopted on 11th March 2014

<b>DAY 1: MONDAY 3 NOVEMBER 2014</b>	
<b>8:30 – 12:45</b>	<p><b>OPENING of the 8<sup>th</sup> Forum on Responsible Mineral Supply Chains</b></p> <p><i>Moderator: H.E. Ginette Martin, Ambassador of Canada to the Democratic Republic of the Congo</i></p>
8:30 – 10:00	<p><b>Welcome from hosts and goals for the Forum meeting</b></p> <ul style="list-style-type: none"> <li>• <i>H.E. Minister Martin Kabwelulu, Minister of Mines, Democratic Republic of the Congo (DRC)</i></li> <li>• <i>Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</i></li> <li>• <i>Professor Alphonse Ntumba Luaba, Executive Secretary, International Conference on the Great Lakes Region (ICGLR)</i></li> <li>• <i>S.E. M. le Vice Premier Ministre, Ministre du Budget, Prof. Mukoko Samba</i></li> </ul>
10:00 – 10:15	<b>Break</b>
10:15 – 11:45	<p><b>High-level updates from ICGLR member states on implementation of the Regional Initiative against the Illegal Exploitation of Natural Resources (RINR)</b></p> <p>ICGLR member state representatives will give brief (~5 min) updates on implementation successes, challenges and next steps. More detailed discussions of progress and challenges will take place after lunch in the focused break-out sessions for which instructions will be given at the end of this session.</p> <ul style="list-style-type: none"> <li>• <i>H.E. Minister Vincent Biruta, Ministry of Natural Resources, Republic of Rwanda</i></li> <li>• <i>Mr. Paul Ndarihonyoye, Directeur des mines et carrieres, Republic of Burundi</i></li> <li>• <i>Mr. Joseph Ikoli Yombo Y'Apeke, Deputy Director of the Cabinet of the Minister of Mines, Ministry of Mines, Democratic Republic of the Congo</i></li> <li>• <i>Mr. John M. Nayopa, Assistant Commissioner for Licensing and Mineral Rights Registry Management, Ministry of Energy and Minerals, United Republic of Tanzania</i></li> <li>• <i>Mr. John Kennedy Okewling, Mining Engineer, Ministry of Energy and Mineral Development, Republic of Uganda</i></li> <li>• <i>M. Yousif Elsamani Mohamed Ali, expert, représentant de la République de Soudan</i></li> </ul>
11:45 -12:15	<p><b>Reports from the UN Group of Experts, IOM and the ICGLR Secretariat on key activities and next steps</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Daniel Fahey, Coordinator, UN Group of Experts on the DRC</i></li> <li>• <i>Mr. Mamadou Angelo Diallo, Program Manager, Responsible Minerals Trade Project, International Organization for Migration (IOM)</i></li> <li>• <i>Mr. Gerard Nayuburundi, Coordinator, Technical Unit on Natural Resources, Executive Secretariat of the ICGLR</i></li> </ul>
12:15 – 12:35	<p><b>Updates on Côte d'Ivoire</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Roberto Sollazzo, Expert on Diamonds and Natural Resources, UN Group of Experts on Côte d'Ivoire</i></li> <li>• <i>Dr. Abdramane Diabate, Directeur Général des Mines et de la Géologie, République de Côte d'Ivoire</i></li> </ul>

12:35 – 13:00	<b>Report from the European Union</b> <ul style="list-style-type: none"> <li>• <i>Mr. Guus Houttuin, Trade Issues and Business Coordinator, European External Action Service</i></li> <li>• <i>Mr. Rein Nieland, Policy Officer, European Commission, DG Trade, Market Access, Industry, Energy and Raw Materials</i></li> </ul>		
13:00 – 14:00	<b>Lunch</b>		
14:00 – 17:15	<b>ICGLR member states parallel working sessions on implementation: progress and challenges</b> The aim of the break-out sessions is to provide more time for in-depth discussion on implementation of the OECD Due Diligence Guidance and the ICGLR Regional Certification Mechanism in specific geographic areas. Each session will provide an opportunity for representatives from government, civil society and industry to identify challenges and potential solutions to overcoming those challenges.		
<b>Rooms</b>	<b>Auditorium</b> <i>Moderator: Mr. Paul Yenga Mabolia, Coordinator, PROMINES, DRC</i>	<b>Salle Panorama</b> <i>Moderator: Dr. Dirk Koch, Netherlands Special Envoy for Raw Materials</i>	<b>Salle Kinshasa</b> <i>Moderator: Amb. Gaspard Kabura, National Coordinator of Burundi</i>
14:00 – 15:00	<b>DRC: North Kivu</b> <i>Government: Hon. Jean Ruyange, Ministre Provincial des Mines</i> <i>Private sector: Mme Yvette Mwanza, membre de la Chambre des Mines/FEC de Nord-Kivu, Directrice African Smelting Group</i> <i>Civil society: Mr. Jamal Usseni, Coordinator, Save Act Mine</i>	<b>DRC: Katanga</b> <i>Government: Hon. Audax Sompwe Kaunda, Ministre Provincial des Mines</i> <i>Private sector: Mr. Elisee Kazadi, Directeur MMR</i> <i>Civil society: M. l'Abbé David Luhaka Ngoy, Commission Diocésain Justice et Paix/ Commission Episcopale sur les Ressources Naturelles – CERN</i>	<b>Burundi</b> <i>Government: Mr. Paul Ndarihonyoye, Directeur des mines et carrieres</i>
15:00 – 16:00	<b>DRC: South Kivu</b> <i>Government: Hon. Adalbert Mushi Mubalama, Ministre Provincial des Mines</i> <i>Private sector: Mr. Olive Mudekereza, FEC Sud-Kivu</i> <i>Civil society: Mr. Cyprien Birhingingwa, Executive Secretary CENADEP Kivu</i>	<b>DRC: Orientale</b> <i>Government: Hon. Odiane Daune, Ministre Provincial des Mines</i> <i>Civil society: Mr. Victor Kangela, Project Coordinator, Partnership Africa Canada (PAC)</i>	<b>United Republic of Tanzania</b> <i>Government: Mr. John M. Nayopa, Commissaire adjoint pour la gestion du registre des licences et permis miniers, Ministère de l'Énergie et des Mines</i>
16:00 – 16:15	<b>Break</b>		
16:15 – 17:15	<b>DRC: Maniema</b> <i>Government : Maître GEROME BIKENGE MUSIMBI, Vice – Gouverneur (chef de la délégation)</i> <i>Private sector: M. David Israel Kituni, Président négociants au Maniema</i> <i>Civil society: M. Paul Kasongo, Maniema Liberté</i>	<b>Uganda</b> <i>Government: Mr. John Kennedy Okewling, Mining Engineer, Ministry of Energy and Mineral Development</i> <i>Private sector: M. Gérald Beaulieu, Head of Business Development for the Americas, GeoTraceability Ltd.</i>	<b>Rwanda</b> <i>Government: Mr. Venant Nsanzimfura, Ministry of Natural Resources</i> <i>Private sector: Rwanda Chamber of Mines and others</i>

17:15 – 18:30	<p><b>Challenges and solutions to implementing due diligence and the ICGLR Regional Certification Mechanism</b></p> <p><b>Moderator:</b> <i>Mr. Paul Yenga Mabolia, Coordinator, PROMINES, DRC</i></p> <p>This session will be an opportunity for participants to reflect on the working sessions prior and develop potential solutions to address challenges.</p> <ul style="list-style-type: none"> <li>• <i>Mr. John Kanyoni, Vice-Président de la chambre des mines, Fédération des Entreprises de Congo (FEC)</i></li> <li>• <i>Mr. Cyprien Birhingingwa, Executive Secretary, Coalition de la Société Civile de la Région des Grands Lacs contre l'exploitation illégale des ressources naturelles (COSOC-GL)</i></li> </ul>
	<b>END OF DAY 1</b>
20:00	<b>Dinner hosted by the Government of the DRC – Béatrice Hôtel</b>

## DAY 2: TUESDAY 4 NOVEMBER 2014

8:30 – 9:15	<p><b>Brief announcements from other implementation programmes and initiatives</b></p> <ul style="list-style-type: none"> <li>• <i>US State Department</i></li> <li>• <i>USAID</i></li> <li>• <i>iTSCI programme</i></li> <li>• <i>Tetratech</i></li> <li>• <i>ARM</i></li> <li>• <i>Save Act Mine</i></li> <li>• <i>IPIS</i></li> <li>• <i>FairTrade Africa</i></li> </ul> <p><b>Update on OECD Implementation Programme</b></p> <ul style="list-style-type: none"> <li>• <i>Ms. Shivani Kannabhiran, Policy Advisor, Sector Projects, Responsible Business Conduct Unit, OECD</i></li> </ul>
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9:15 – 13:15	<b>Human rights and serious abuses under Annex II (Plenary and working sessions)</b>
9:15 – 10:15	<p><b>Overview of relevant human right issues and serious abuses under Annex II</b></p> <p><b>Moderator:</b> <i>Ambassador Jean-Michel Dumond, Head of the European Union Delegation to the DRC</i></p> <p>This session will review the relevant human rights issues and serious abuses covered in the OECD Guidance under Annex II, and the approaches recommended for risk identification, prevention and/or mitigation. It will serve as a basis for the more in-depth working sessions on three key themes outlined below. Instructions and objectives for the working sessions will also be provided.</p> <ul style="list-style-type: none"> <li>• <i>Mr. Louis Maréchal, Policy Advisor, Extractives Industry, Responsible Business Conduct Unit, OECD</i></li> <li>• <i>Mr. James Lynch, Head, Business and Human Rights Team, Amnesty International</i></li> <li>• <i>Mr. Cyprien Birhingingwa, Executive Secretary, coalition de la Société Civile de la Région des Grands Lacs contre l'exploitation illégale des ressources naturelles (COSOC-GL)</i></li> </ul>

10:15 – 11:15	<p><b>Working Session 1: Public and private security forces and the Voluntary Principles on Security and Human Rights</b></p> <p><b>Moderator:</b> <i>Ambassador Jean-Michel Dumond, Head of the European Union Delegation to the DRC</i></p> <p>This session will explore the modes and mechanisms of responding to human rights issues, including identifying and managing human rights and other risks associated with security forces in mining areas. The session will focus on promoting human rights compliance by public and private security forces, including through the Voluntary Principles on Security and Human Rights (VPs), as well as on actions by governments when problems are identified.</p> <ul style="list-style-type: none"> <li>• <i>Mr. Valéry Mukasa, Director of the Cabinet of the Minister of Mines, DRC</i></li> <li>• <i>Colonel Magistrat Kasongo Kyolwele, FARDC</i></li> <li>• <i>Mr. Eric Kajemba, Vice President, Observatoire Gouvernance et Paix (OGP)</i></li> <li>• <i>Mr. Yves Bawa, iTSCI regional programme director, PACT Inc.</i></li> </ul>	
11:15 – 11:45	<p><b>Break</b></p>	
11:45 – 13:15	<p><b>Working Session 2: Promoting women’s and children’s human rights and preventing sexual violence in mining areas / Preventing the worst forms of child labour in mining</b></p> <p><b>Moderator:</b> <i>H.E. Ginette Martin, Ambassador of Canada to the Democratic Republic of the Congo</i></p> <p>This session will explore current initiatives to promote women’s and children’s human rights in mining areas and in particular to prevent sexual violence and worst forms of child labour in and around mining sites. The session will then focus on how such issues can be identified; actions companies can take, and explore existing initiatives.</p> <ul style="list-style-type: none"> <li>• <i>Ms. Shivani Kannabhiran, Policy Advisor, Sector Projects, Responsible Business Conduct Unit, OECD</i></li> <li>• <i>Ms. Irma van Dueren, Senior Women Protection Advisor, MONUSCO</i></li> <li>• <i>Ms. Brigitte Bashali Mubuya from Assistance des Femmes et enfants Victimes des Violences</i></li> <li>• <i>Ms. Henriette Tshimwanga, National Committee on Worst Forms of Child Labour, Labour and Social Affairs Ministry, DRC</i></li> </ul>	
13:15 – 14:30	<p><b>Lunch</b></p>	
<b>Rooms</b>	<b>Auditorium</b>	<b>Salle Panorama</b>
14:30 – 16:00	<p><b>Parallel session: Advancing on-the-ground due diligence and responsible sourcing in gold producing areas: DRC gold baselines</b></p> <p><b>Moderator:</b> <i>Dr. Dirk Koch, Netherlands Special Envoy for Raw Materials</i></p> <p>This session will discuss the findings of baseline assessments in the DRC (Mukungwe in South Kivu and Mongbwalu in Ituri) and the gold trading hub Uganda.</p> <ul style="list-style-type: none"> <li>• <i>Mr. Gregory Mthemba-Salter, Phuzumoya Consulting</i></li> <li>• <i>Mr. Paluku Kady, CEO, Comptoir EMEKO</i></li> </ul>	<p><b>Parallel session: 3T on-the-ground due diligence programmes: complementary approaches and emerging programmes</b></p> <p><b>Moderator:</b> <i>Mr. Richard Robinson, Extractive Industries Technical Advisor, USAID</i></p> <p>This session will discuss preliminary findings from evaluations conducted on implementing due diligence, traceability and chain of custody in the region and how market acceptance of various schemes can be increased.</p> <ul style="list-style-type: none"> <li>• <i>Ms. Estelle Levin, Director, Estelle Levin Ltd. and Dr. Catherine Picard, Senior Technical Advisor/Manager, TetraTech/ CBRMT</i></li> </ul>

	<ul style="list-style-type: none"> <li>• <i>Mr. Alexis Mikandji, General Director, CEEC, Ministry of Mines, RDC</i></li> <li>• <i>Mr. Thierry Ntumba, DRC General Counsel, Banro Corporation</i></li> </ul>	<ul style="list-style-type: none"> <li>• <i>Dr. Bali Barume, Senior Engineer, Federal Institute for Geosciences and Natural Resources</i></li> <li>• <i>Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd.</i></li> <li>• <i>Mr. Albert Kitenge, General Manager, Democratic Republic of Congo, Alphamin Resources Corp.</i></li> </ul>
<b>16:00 – 16:30</b>	<b>Break</b>	
16:30 – 18:00	<p><b>Parallel session: ASM - Encouraging implementation of Appendix 1 of the Guidance: Regional approaches, formalisation models and market incentives</b></p> <p><b>Moderator:</b> <i>Dr. Dirk Koch, Netherlands Special Envoy for Raw Materials</i></p> <p>This session will focus on ICGLR and African government efforts and lessons learned on formalisation in ASM, including approaches to engage and collaborate with the private sector.</p> <ul style="list-style-type: none"> <li>• <i>Mr. Louis Maréchal, Policy Advisor, Extractives Industry, Responsible Business Conduct Unit, OECD</i></li> <li>• <i>Mr. Walassa Mulondani, Head of the « Radio for good governance and cohabitation in Mwenga » programme</i></li> <li>• <i>Mr. Bernard Taylor, Executive Director, and Mr. Victor Kangela, Project Coordinator, Partnership Africa Canada</i></li> <li>• <i>Mr. Serge Mulumba Kalambay, President, CDMC</i></li> </ul>	<p><b>Parallel session: 3T commercial risk</b></p> <p><b>Moderator:</b> <i>Mr. Tyler Gillard, Manager, Sector Projects, Responsible Business Conduct Unit, OECD</i></p> <p>This session will provide an update on the activities of the informal working group and the proposed way forward.</p> <ul style="list-style-type: none"> <li>• <i>Mr. Michael Rohwer, Program Director, Conflict-Free Sourcing Initiative</i></li> <li>• <i>Ms. Annie Dunnebacke, Deputy Campaigns Director, Global Witness</i></li> <li>• <i>Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd.</i></li> <li>• <i>Ms. Sakhila Mirza, Regulatory Affairs, London Bullion Market Association</i></li> </ul>
<b>END OF DAY 2</b>		

**DAY 3: WEDNESDAY 5 NOVEMBER 2014**

9:00 – 10:00

**Responsible Investor Forum - Welcome and purpose****Moderator:** *Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct*

This session brings international investors together with those shaping the local and regional investment climate in the mining sector. The discussions aim to showcase that responsible investment in mineral supply chains operating and sourcing from conflict-affected and high-risk areas is possible but that expectations of investors and buyers in terms of meeting international standards are increasingly stringent, as are expectations of local governments in terms of creating a favourable climate for responsible investment.

- *Mr. Tyler Gillard, Manager, Sector Projects, Responsible Business Conduct Unit, OECD*
- *Mr. Paul Yenga Mabolia, Coordinator, PROMINES*
- *Mr. John Kanyoni, Vice-Président de la chambre des mines, Fédération des Entreprises de Congo (FEC)*

10:00 – 11:30

**Creating a favourable climate for attracting responsible investment****Moderator:** *Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct*

This session will focus on how to create a favorable climate for responsible mining investment and will cover issues relating to the mining investment policy framework, regional integration, fiscal and legal harmonization, investment in mining infrastructure, and the investment climate for companies willing to source from or set up operational agreements with ASM entities.

- *Mr. Kasanda Ngoy, Secretary General of the Ministry of Mines, DRC*
- *Ms. Elisabeth Caesens, Senior Technical Advisor, Mining Governance Program , The Carter Center*
- *Mr. Sacha Backes, Senior Investment Officer, International Finance Corporation*
- *Mr. Bruce Curling, CEO, Alphamin Resources Corp.*
- *Mr. Olivier Demierre, Sr. Vice President Corporate Social Responsibility, PAMP S.A.*

**11:30 – 12:00****Break****12:00 – 12:30****CLOSING of the 8<sup>th</sup> Forum on Responsible Mineral Supply Chains****Moderator:** *H.E. Ginette Martin, Ambassador of Canada to the Democratic Republic of Congo*

- *Amb. Said Djinnit, Special Envoy of the United Nations Secretary-General for the Great Lakes region in Africa*
- *Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct*
- *H.E. Martin Kabwelulu, Minister of Mines, Government of the DRC*
- *S.E. M. le Vice Premier Ministre, Ministre du Budget, Prof. Mukoko Samba*

**Press conference and background technical briefing**

*9<sup>th</sup> Forum on Responsible Minerals Supply Chains: 4 – 6 May 2015 at the OECD Conference Centre in Paris, France*





